

**State Court Administrative Office
Trial Court Services
Problem-Solving Courts**

Matching Risk and Needs to Services and Monitoring

May 24, 2018



Why Use a Risk and Needs Assessment?

- To ensure you are accepting high-risk/high-need participants
- To ensure you are not accepting low-risk participants
 - Do not disrupt the low-risk client's prosocial support system by over programming.
 - Do not mix low-risk individuals with high-risk individuals (low-risk learns from high-risk)
- To assign the appropriate interventions
- To monitor progress throughout the program

Differences and Definitions

Risk and Needs Assessment

- can be conducted by PO or CM who has completed training specific to that instrument
- measures offenders' criminal risk factors
- identifies and targets interventions to address offender needs generally related to recidivism

(Elek et al. 2014)

Clinical Assessment

- completed by a licensed clinical professional
- evaluation of an individual's presenting mental health, developmental disability, and/or substance abuse condition
- provides the clinical basis for the development of a person-centered plan (PCP) and recommendations for services/supports/treatment

(NC DHHS 2014)

Differences and Definitions

Case Plan

- a guide and contract for both the probation officer and the participant
- provides concrete information about:
 - **Outcomes:** the program's expectations for the participant
 - **Goals:** the actions that will be taken to achieve the outcomes
 - **Services:** provided by the program to assist the participant in accomplishing the goals, including services in the treatment plan
 - **Time limitations:** clarify the expected achievement dates

Treatment Plan

- document that outlines the progression of therapy
- typically highlight important assessment information, define areas of concern, and establish concrete goals for treatment

Risk and Needs Assessment Tools

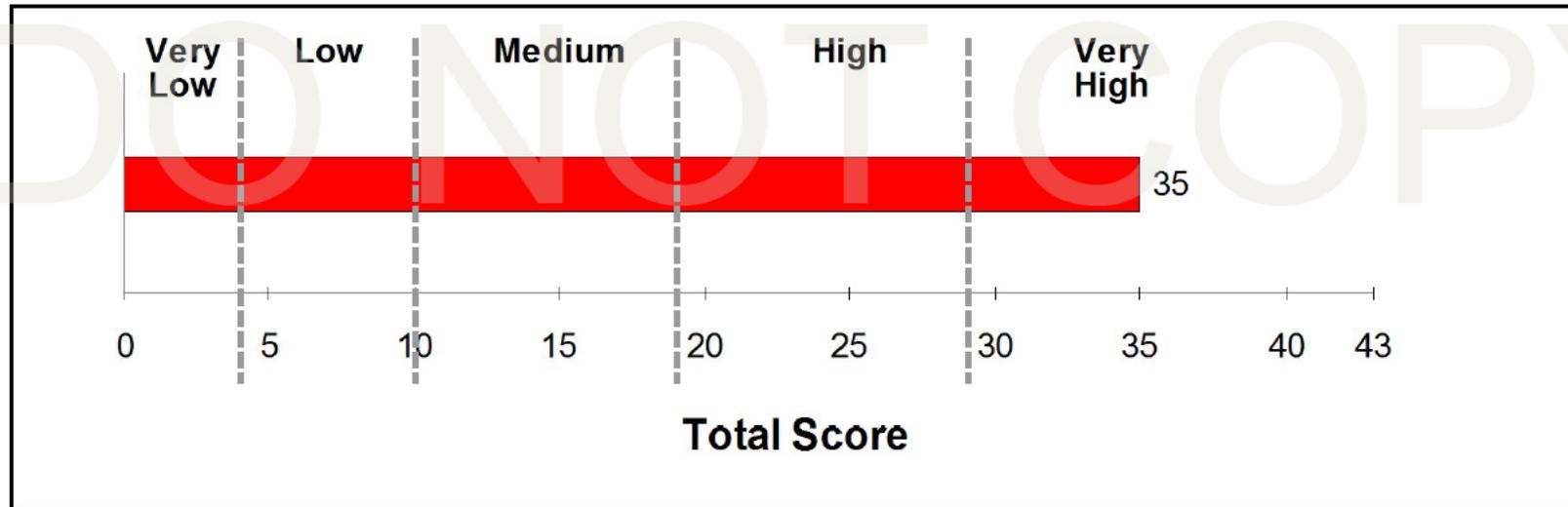
There are many assessment tools, but some of the more commonly used ones in Michigan are:

- LSI-R: Level of Service Inventory-Revised
- IORNS: Inventory of Offender Risks, Needs, and Strengths
- ORAS: Ohio Risk Assessment System
- COMPAS: Correctional Offender Management and Profiling for Alternative Solutions
- OST: Offender Screening Tool
- WRN: Wisconsin Risk and Needs
- CAIS: Correctional Assessment and Intervention System

Total Risk Score

LS/CMI General Risk/Need Total Score

The graph below displays Mike Cooper's General Risk/Need Total score and indicates the classification level associated with that score.



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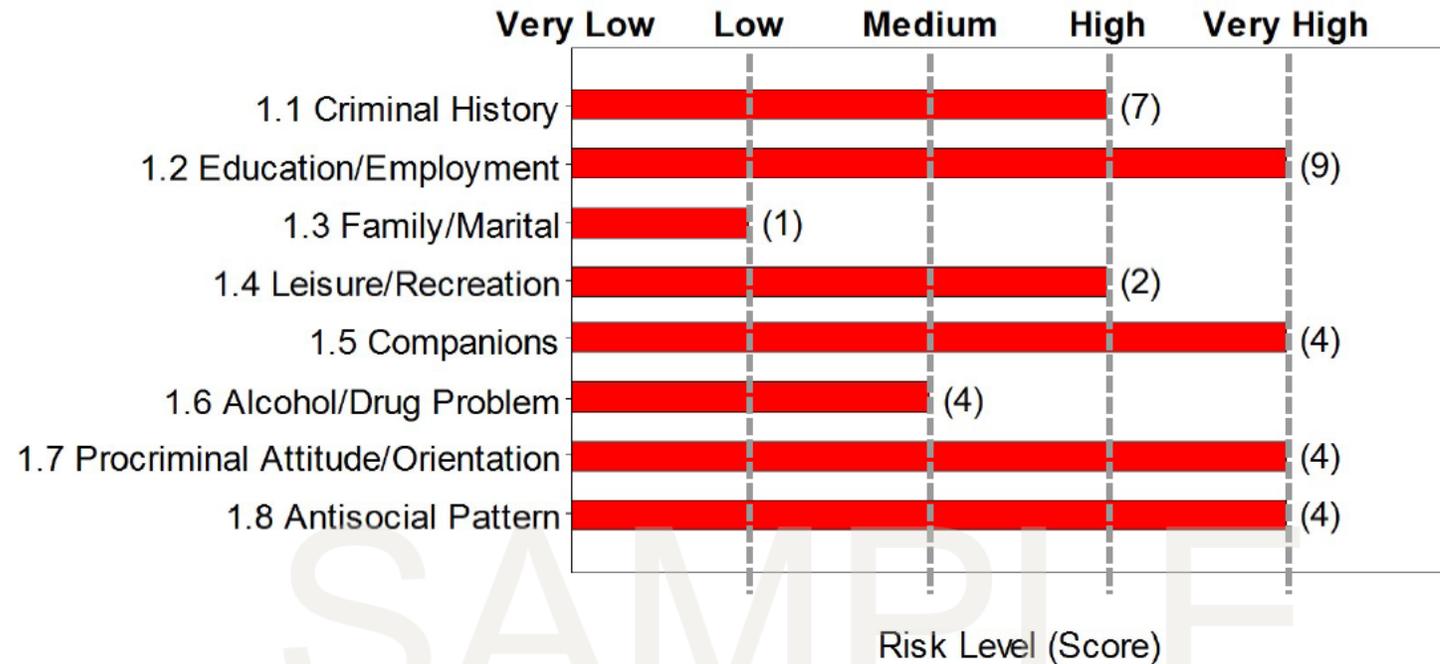
Interpreting Results

- Overall risk level: The likelihood of criminal recidivism based on risk levels in each domain
 - Low: This person is unlikely to reoffend and should not be mandated to any services
 - Moderate and High: The case plan should focus on risk reduction
 - Extremely High: Risk control is appropriate (i.e., incarceration)

Individual Risk Factors/Criminogenic Needs

Assessment of General Risk/Need Factors

The graph below displays Mike Cooper's risk level for each General Risk/Need subcomponent.



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Interpreting Results

- Risk Factors/Criminogenic Needs:
 - Past/current offenses (criminal history) – these are static items and can't be changed
 - Thinking/Beliefs (attitude/orientation) – cognitions that support behaviors
 - Peer Relationships (companionship)– influence by association with peers
 - Personality/Behavior (antisocial pattern) – problem-solving, coping skills
 - Family Circumstances – support and accountability
 - Substance Abuse
 - Education / Employment
 - Leisure/Recreation – recreational outlets

(Pennsylvania Commission on Crime and Delinquency, Prevention Research Center, Penn State, Evidence Based Prevention & Intervention Support Center, 2014)
- Protective factors: Domains with low-risk levels, meaning they are a strength or protective factor (good job, strong family relationships)

Service Matrix

TB=thinking/beliefs

PR=peer relations

P/B=personality/behavior

FC=family circumstances

SA=substance abuse

E/E=employment/ed

LR=leisure and recreation

| Mark need area(s) covered by the service | | | | | | | Service | Mark need level met by service | | | Restricted entry? |
|--|----|-----|----|----|-----|----|--|--------------------------------|---|---|-------------------|
| TB | PR | P/B | FC | SA | E/E | LR | | L | M | H | |
| | | X | | | | | TREM (trauma group) (2/week for 26 weeks) | | X | X | Women's group |
| | X | | | X | | | AA/NA | | X | X | |
| | | | | | X | | CMH supported employment | | X | | |
| X | | | | | | | Thinking Matters (CBT group) (1/week for 12 weeks) | | X | | Closed group |
| X | | X | X | | | | MOVE Domestic Violence Group (1/week for 52 weeks) | | | X | Men's group |
| | X | | | | | X | Community Service | | X | | |
| | | X | | | | | DBT (1/week for 52 weeks) | | | X | Women's group |
| X | | X | | | | | Face to face report-in with probation officer (20 minutes minimum) | X | X | X | |
| X | X | | X | | X | X | GPS | | | | |

Service Matrix

- Develop a service matrix with your entire team
- List all services and resources available: treatment, education, employment, support, housing, etc.
- Categorize each service and resource by risk level
- Indicate which of the seven primary dynamic risk factor(s) each service addresses (one service could address more than one risk factor)
- Do not finalize without input from your treatment agency

Initial Case Plan Assessment Review

| Risk and needs assessment results | | | | Mod & high domains where client is motivated | Areas related to offense | 3 risk/need areas of focus (mod/high risk, motivated, related to offense, safety) |
|--|-----|-----|------|--|--------------------------|---|
| Risk/Need Area | Low | Mod | High | | | |
| ➤ Thinking/Beliefs | X | | | | | |
| ➤ Peer Relationships | | | X | | | |
| ➤ Personality/Behavior | | | X | X | X | X |
| ➤ Family Circumstances | | X | | | | |
| Substance Abuse | | | X | X | X | X |
| Education/Employment | | | X | X | | X |
| Leisure/Recreation | X | | | | | |
| Overall | | | X | | | |
| Supervision level (based on overall risk level) | | | X | | | |
| Testing level (does not include PBTs) (based on substance abuse risk level) | | | X | | | |

Initial Case Plan Interventions

Things to consider when developing the initial case plan

- Don't overwhelm the client (start with no more than three)
- Highest risk factors
- Which of those are most related to the criminal behavior
- Safety of the client and others
- Services that are available
- Client motivation, abilities, strengths, and learning style

Client Summary

Joe plead guilty to OWI 3rd, and completed a PSI. During the PSI he acknowledged that he should stop drinking. He also mentioned that he is stressed out due to fighting with his wife a lot more often since his hours were cut at work. The night he was drinking he went to the bar because he and his wife had fought. His risk assessment results were:

T/B: low

PR: moderate

P/B: high

FC: high

SA: high

E/E: moderate

L/R: low

Overall: high

Initial Case Plan Example

| Initial Case Plan | | | | | |
|-------------------------------------|---|---|--|-----------------|--------------------------|
| Supervision level: | High, meet with PO every Wednesday at 10:00 a.m. | | | | |
| Testing level: (high, mod, low) | High | Testing color: | Red- UA tests at least 2/week | | |
| Review hearing: | Every 2 weeks on Wednesdays at 1:00 pm | | | | |
| Treatment and support interventions | | | | | |
| Risk/Need Area | Goal | Intervention | Duration | Frequency | Progress |
| Substance Abuse | Support abstinence from drinking | <u>Soberlink</u> | 90 days | 4 blows/day | NA |
| Substance Abuse | Support abstinence from drugs | Drug testing (UA) | Entire program | At least 2/week | NA |
| Personality/Behavior | Improve accountability and problem solving skills | Face to face meeting with probation officer | Entire program | 1/week | NA |
| Personality/Behavior | Improve coping skills and problem solving skills | DBT group (per assessment at CMH) | 1 year | 1/week | Has completed 3 sessions |
| Substance Abuse | Understand cognitions and beliefs that lead to drinking | Complete an assessment at Addiction Solutions Counseling Center | Make an apt, and complete assessment within 30 days | | NA |
| Education/ Employment | Obtain specific skills to improve employability | Complete welding classes | Apply at <u>MIWorks</u> for class funding within 30 days | | NA |

30-Day Reassessment

- Primary purpose is to follow up on the assessment results from the treatment providers
- No need to complete another risk and need assessment
- Solidify services that were recommended from the assessments

30-Day Reassessment Example

| Thirty-Day Reassessment Case Plan | | | | | |
|--|---|---|---------------------|-----------------------------------|---|
| Supervision level: | High, meet with PO every Wednesday at 10:00 a.m. | | | | |
| Testing level: (high, mod, low) | High | Testing level: (high, mod, low) | High | | |
| Review hearing: | Every 2 weeks on Wednesdays at 1:00 pm | | | | |
| Treatment and support interventions | | | | | |
| Risk/Need Area | Goal | Intervention | Duration | Frequency | Progress |
| Substance Abuse | Support abstinence from drinking | <u>Soberlink</u> | 90 days | 4 blows/day | Poor, no positive blows, but late for several, and has missed 6. |
| Substance Abuse | Support abstinence from drugs | Drug testing (UA) | Entire program | At least 2/week | Tested positive for <u>EtG</u> first test, good since then |
| Personality/Behavior | Improve accountability and problem solving skills | Face to face meeting with probation officer | Entire program | 1/week | Missed first week, but has kept <u>apts</u> since. Working on problem solving <u>soberlink compliance</u> |
| Personality/Behavior | Improve coping skills and problem solving skills | DBT group (per assessment at CMH) | 1 year | 1/week | Has completed 3 sessions |
| Substance Abuse | Understand cognitions and beliefs that lead to drinking | Attend counseling at Addiction Solutions Counseling Center (per assessment at ASCC) | Reassess at 90 days | 1/week individual 1/week group | Has completed 2 group and 1 individual |
| Education/ Employment | Obtain specific skills to improve employability | Complete welding classes | 6 weeks | 5/week | Has completed 2 weeks |
| | | | | | |

Full Reassessment

- Criminogenic needs change as a result of participation in services, or changes in the participant's life.
- Reassessment should be done at least every six months, but sooner if:
 - Behavior has not improved
 - Significant changes have occurred in the participant's life
- The case plan should be updated/modified with the reassessment
- Final reassessment should be about two months prior to program completion

Six-Month Reassessment Summary Example

| Risk and Need Assessment Results (Six Months) | | | | Mod & high domains where client is motivated | Areas related to offense | 3 risk/need areas of focus (mod/high risk, motivated, related to offense) |
|--|-----|-----|------|--|--------------------------|---|
| Risk/Need Area | Low | Mod | High | | | |
| ➤ Thinking/Beliefs | X | | | | | |
| ➤ Peer Relationships | | | X | | | |
| ➤ Personality/Behavior | | | X | X | X | X |
| ➤ Family Circumstances | | X | | X | | X |
| Substance Abuse | | X | | X | X | X |
| Education/Employment | X | | | | | |
| Leisure/Recreation | X | | | | | |
| Overall | | X | | | | |
| Supervision level (based on overall risk level) | | X | | | | |
| Testing level (does not include PBTs) (based on substance abuse risk level) | | X | | | | |

Six-Month Reassessment Client Summary

Joe's hours at work have returned to full time, and his boss regularly tells Joe how much better his performance has been over the last few months. Joe thought this would help his relationship with his wife, but they are still fighting a lot.

His risk assessment results were:

T/B: low

PR: moderate

P/B: moderate

FC: high

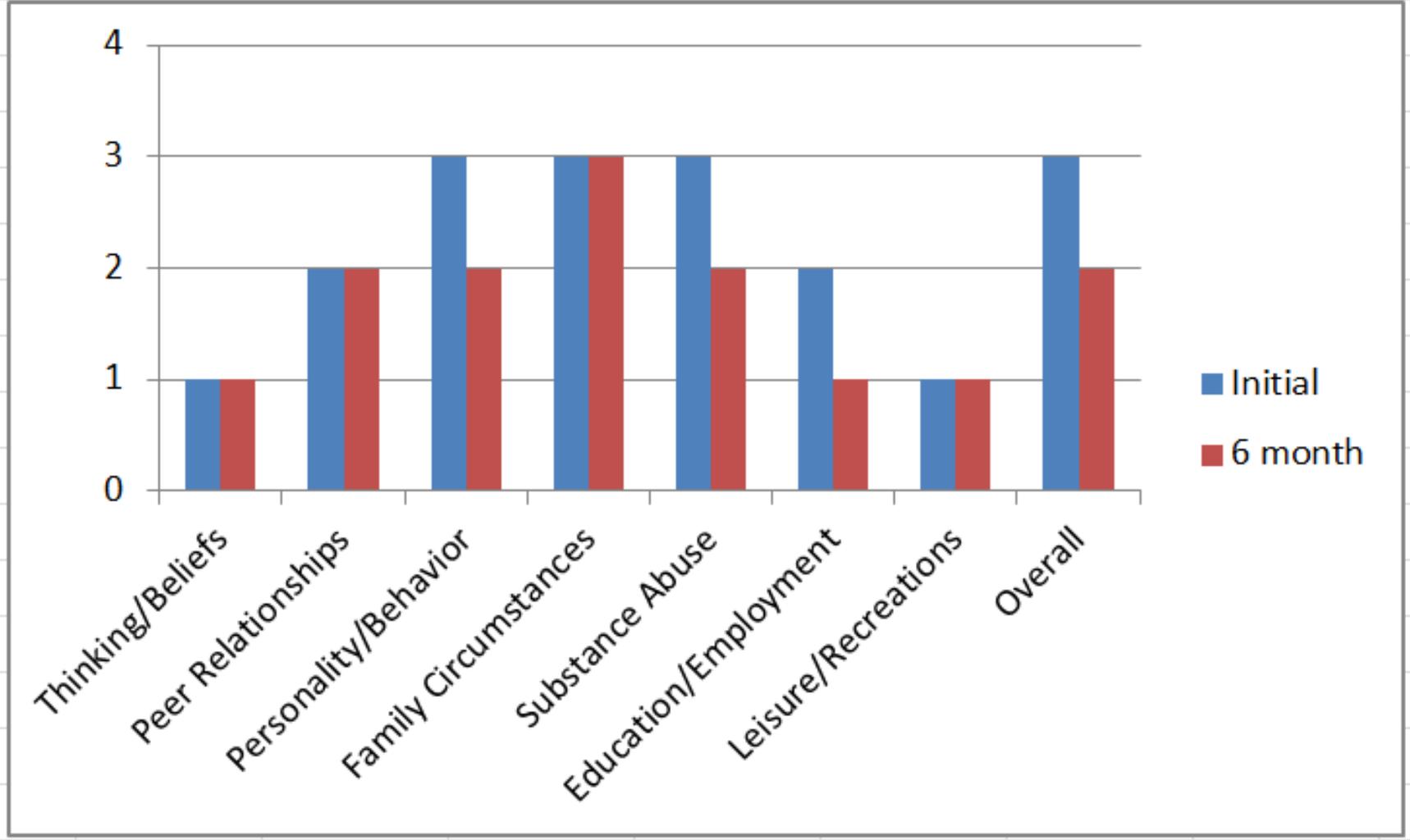
SA: moderate

E/E: low

L/R: low

Overall: moderate

Six-Month Client Progress



Low=1

Moderate=2

High=3

Questions?



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